



**Vince Allegra**  
Senior Managing Director  
Retirement Advisory Services

353 North Clark Street  
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mesirow.com

June 7, 2023

**Re: PRICE PROPOSAL for RFP 22-046-HR**

Mesirow RAS has structured fees based on our desire to continue and build upon our long-term relationship with The County of DuPage providing 457 Plan consultant services.

- a. Provide an annual consulting fee for Year 1, Year 2, and Year 3.  
Year 1: \$54,000  
Year 2: \$54,000  
Year 3: \$54,000
- b. A rate card for any work that is not considered part of the main engagement.  
Our fee is inclusive of all services provided in the RFP response.

#### **Fee transparency**

Mesirow RAS' fees are always fully disclosed and transparent.

- We do not bill for, or expect to be reimbursed for, any expenses in addition to the fees described above.
- We do not accept any sales and marketing (12b-1) fees or any other compensation from mutual fund companies or retirement plan vendors. Any of these types of revenue that may be received would be credited back to the plan and/or used to offset our fee.
- Our firm, employees, and/or any affiliated or related entity will not be paid fees and/or commissions (including those from revenue-sharing and commission recapture) for our services to your plan from sources other than your plan.
- We do not receive any form of compensation or benefits – directly or indirectly – from companies or individuals whose products or services we may refer to recommend.

Sincerely,

*Signature on File*

Vince Allegra  
Senior Managing Director  
Retirement Advisory Services

**SECTION 8 - PROPOSAL FORM**

(PLEASE TYPE OR PRINT THE FOLLOWING INFORMATION)

Full Name of Offeror	Mesirow Financial Investment Management Inc
Main Business Address	353 North Clark Street
City, State, Zip Code	Chicago IL, 60654
Telephone Number	630.705.2085
Fax Number	312-595-4328
Proposal Contact Person	Vincent Allegra
Email Address	vincent.allegra@mesirow.com

The undersigned certifies that he is:

- the Owner/Sole Proprietor     
  a Member of the Partnership     
  an Officer of the Corporation     
  a Member of the Joint Venture

herein after called the Offeror and that the members of the Partnership or Officers of the Corporation are as follows:

<p><u>Natalie Brown</u> (President or Partner)</p> <p><u>Renee Schreiber</u> (Secretary or Partner)</p>	<p>N/A</p> <p><u>Jesse Keig</u> (Treasurer or Partner)</p>
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Further, the undersigned declares that the only person or parties interested in this Proposal as principals are those named herein; that this Proposal is made without collusion with any other person, firm or corporation; that he has fully examined the proposed forms of agreement and the contract specifications for the above designated purchase, all of which are on file in the office of the Procurement Manager, DuPage Center, 421 North County Farm Road, Wheaton, Illinois 60187, and all other documents referred to or mentioned in the contract documents, specifications and attached exhibits, including Addenda No. 1, 2, and \_\_\_\_\_ issued thereto;

Further, the undersigned proposes and agrees, if this Proposal is accepted, to provide all necessary machinery, tools, apparatus and other means of construction, including transportation services necessary to furnish all the materials and equipment specified or referred to in the contract documents in the manner and time therein prescribed. Further, the undersigned certifies and warrants that he is duly authorized to execute this certification/affidavit on behalf of the Offeror and in accordance with the Partnership Agreement or by-laws of the Corporation, and the laws of the State of Illinois and that this Certification is binding upon the Offeror and is true and accurate.

Further, the undersigned certifies that the Offeror is not barred from proposing on this contract as a result of a violation of either 720 Illinois Compiled Statutes 5/33 E-3 or 5/33E-4, proposal rigging or proposal-rotating or as a result of a violation of 820 ILCS 130/1 et seq., the Illinois Prevailing Wage Act.

The undersigned certifies that he has examined and carefully prepared this proposal and has checked the same in detail before submitting this proposal, and that the statements contained herein are true and correct.

If a Corporation, the undersigned further certifies that the recitals and resolutions attached hereto and made a part hereof were properly adopted by the Board of Directors of the Corporation at a meeting of said Board of Directors duly called and held and have not been repealed, nor modified and that the same remain in full force and effect. (Offeror may be requested to provide a copy of the corporate resolution granting the individual executing the contract documents authority to do so.)

Further, the offeror certifies that he has provided services comparable to the items specified in this contract to the parties listed in the reference section below and authorizes the County to verify references of business and credit at its option.

Finally, the offeror, if awarded the contract, agrees to do all other things required by the contract documents, and that he will take in full payment therefore the sums set forth in the cost schedule.

**PROPOSAL AWARD CRITERIA**

This proposal will be awarded to the most responsive, responsible vendor meeting specifications based upon the highest score compiled during evaluation of the proposals outlined in the selection process.

The Contractor agrees to provide the service described above and in the contract specifications under the conditions outlined in attached documents for the amount stated.

*Signature on File*

X \_\_\_\_\_ Managing Director, Operations  
(Signature and Title)

CORPORATE SEAL  
(If available)

**PROPOSAL MUST BE SIGNED FOR CONSIDERATION**

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ AD, 2023

\_\_\_\_\_

My Commission Expires: \_\_\_\_\_  
(Notary Public)