



**County: DuPage**

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This agreement, by and between the Department of Transportation, State of Illinois, hereinafter called the **DEPARTMENT**, and the County of DuPage, of the State of Illinois, hereinafter called the **COUNTY**.

**WITNESSETH:**

**WHEREAS**, the Illinois Highway Code provides that the **DEPARTMENT**, upon satisfying itself that the County Engineer's office in a county is adequately organized, staffed, equipped and financed to discharge satisfactorily the duties and requirements of 605 ILCS 5/5-402, may grant a county permission to construct or maintain highways or sections thereof when such projects are financed in whole or in part with any funds received from the State except Federal-aid funds, without approval and supervision of the **DEPARTMENT**, providing the **COUNTY** will enter into an Agreement of Understanding with the **DEPARTMENT**, and;

**WHEREAS**, this agreement addresses the approval of County and Road District Fuel Tax, Township Bridge, Township Bridge Lapse Pool, 80,000 Pound Truck Access Road, Economic Development, Park Access Road and any other state funded projects administered under Motor Fuel Tax policies and procedures.

**NOW THEREFORE**, for and in consideration of the covenants and agreements herein contained, the parties agree as follows:

**THE COUNTY AGREES:**

1. That it will maintain an adequate, fully staffed organization to the level this Agreement of Understanding was executed and will keep the **DEPARTMENT** currently advised of the organization and key staffing;
2. That it will affect a coordinated 12 or 24 consecutive month maintenance program in accordance with the intent of the law;
3. That it will follow the procedure set forth in 605 ILCS 5/5-403 and 605 5/6-701.1 of the Illinois Highway Code for the construction and maintenance of any highway;
4. That it will supply the **DEPARTMENT**, for record purposes, documentation listed on Attachment A within the timeframe shown, or upon the request of the **DEPARTMENT**;

5. To obtain the **DEPARTMENT'S** approval of all bridge condition reports, preliminary bridge design and hydraulic reports, plans and specifications for all bridges and culverts required by policy contained in Chapter 10 of the Bureau of Local Roads and Streets Manual, as well as for non-MFT funded structures having a clear span of more than thirty (30) feet as required by 605 ILCS 5/5-205.1 of the Illinois Highway Code;
6. To provide Form BLR 10220-Asbestos Certification on bridge projects and when requesting load ratings from the **DEPARTMENT** for resurfacing, of structures greater than 20 feet long measured along the centerline of the roadway;
7. To obtain all necessary permits and environmental/cultural clearances in accordance with the Bureau of Local Roads and Streets Manual and other Department policy before advertising a project for letting or performing the project with its own forces;
8. To ensure plans for highway construction and maintenance work will be designed in accordance with the Bureau of Local Roads and Streets Manual and design policies adopted by the **DEPARTMENT**. Modifications and design deviations proposed by the **COUNTY** must be approved using procedures outlined in Chapter 10 of said Manual;
9. To ensure plans and specifications for maintenance or construction will be prepared as applicable by a licensed professional/structural engineer or under his or her direct supervision. Plans shall bear the engineer's professional/structural seal as applicable;
10. To obtain the **DEPARTMENT'S** approval of plans and specifications for improvement of State highways and appurtenances thereto prior to advertising for bids;
11. To obtain the **DEPARTMENT'S** approval of all connections to the State Highway System;
12. That all rights-of-way will be secured prior to advertising a project for letting, unless prior approval by the **DEPARTMENT** has been secured;
13. To advertise for bids and let contracts for maintenance or construction to the lowest responsible bidder in accordance with **DEPARTMENT** policy, or with the concurrence of the **DEPARTMENT**, do the work itself through its officers, agents and employees;
14. That it will perform or cause to be performed all construction and material inspections required on its construction and maintenance projects using the Project Procedures Guide and other procedures acceptable to the **DEPARTMENT**. The **COUNTY** will document the inspections and make said documentation available to the **DEPARTMENT** at all times any exceptions to approved materials will be coordinated through the district;
15. That reimbursement request for State and or TBP projects shall contain backup documentation per BLRS Manual Chapter 5-10;
16. That it will provide Material Certification in accordance with the applicable portions of Section 800 of the Project Procedures Guide. The **COUNTY** will certify to the Deputy Director of Highways, Region One Engineer that the required material testing and sampling were done for all materials incorporated in the construction or maintenance work. The **COUNTY** will further certify that, for all materials, the Method of Acceptance with the appropriate Evidence of Materials Inspection is available for the **DEPARTMENT** to review. A copy of the Material Certification Letter (Attachment B) will be included with each Engineer's Payment Estimate, (BLR 13230 final payment version);

17. To withhold final payment to the contractor on construction projects involving State highways and appurtenances until written certification is received that the work has been performed in accordance with the plans and specifications and accepted by the **DEPARTMENT**. The **COUNTY** will notify the **DEPARTMENT** at least two (2) weeks prior to the final inspection on construction projects involving State highways and appurtenances so arrangements can be made for a **DEPARTMENT** representative to attend;
18. That it will provide the **DEPARTMENT** with the Local Public Agency General Maintenance Estimate of Cost/Maintenance Expenditure Statement, (BLR 14222, Maintenance Expenditure Statement version) within 3 months from the end of the maintenance period;
19. That it will provide the **DEPARTMENT** with the Final Report of Expenditures (BLR 13510) for project close-out, within one (1) year after the completion of the work;
20. That it will make all records available to personnel of the **DEPARTMENT** for review and/or audit for a minimum of three (3) years after project close-out and **DEPARTMENT** review and/or audit;
21. That it will submit an annual report to the **DEPARTMENT** by February 1 of each year, listing the projects undertaken, a description and limits of each project, the status of the projects, the amount and type of funds expended, and a map showing the locations of the various projects for the previous calendar year;
22. That use of funds not specified in this agreement will require approval by the **DEPARTMENT**.

#### **THE DEPARTMENT AGREES:**

1. That in view of the foregoing covenants, its approval and supervision of any activities related to construction and maintenance projects and expenditures funded by Motor Fuel Tax and/or any other funds received from the State and administered under Motor Fuel Tax policies and procedures will not be required except as hereinabove specified;
2. That it will provide off-site material inspections and testing at sources normally visited by state inspectors. The **DEPARTMENT** may perform certain construction and material inspections as agreed to by the **DEPARTMENT'S** Deputy Director of Highways, Region One District One Office and the **COUNTY**. If **DEPARTMENT** personnel are not available to perform these material inspections, the **COUNTY** will be responsible for providing the required inspection and documentation.

#### **IT IS MUTUALLY AGREED:**

1. Executed joint agreements between the **COUNTY** and **DEPARTMENT** will be required for all State funded projects requiring a separate obligation;
2. That the provisions of this agreement shall not apply to any federally funded projects and/or state funded projects not administered under Motor Fuel Tax policies and procedures;
3. At the Department's discretion, it reserves the right to supersede this agreement and require full oversight for certain state funded programs;

4. That the Department reserves the right to request information on any Construction or Maintenance project for review and inspection;
5. The **DEPARTMENT** may make periodic inspections of the jobsite and project file documentation, if it deems necessary, to satisfy itself that the work is being done in compliance with the plans, specifications, and departmental procedures;
6. This agreement shall remain in full force and effect unless terminated by either party upon 30 days written notification, or when the undersigned county engineer terminates employment under such title/position with the **COUNTY**.

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Executed by the **COUNTY** this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

DuPage County, State of Illinois,  
acting by and through its County Board

William C. Eidson, PE  
County Engineer

Deborah A. Conroy  
Chair, DuPage County Board

By \_\_\_\_\_  
County Engineer

By \_\_\_\_\_  
Chair, DuPage County Board

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Accepted:

By \_\_\_\_\_ Date: \_\_\_\_\_  
District Local Roads Engineer

By \_\_\_\_\_ Date: \_\_\_\_\_  
Regional Engineer

Executed by the **DEPARTMENT** this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

STATE OF ILLINOIS,  
DEPARTMENT OF TRANSPORTATION

\_\_\_\_\_  
Engineer of Local Roads and Streets

## ATTACHMENT A

### Agreements of Understanding Document Submittal Requirements

February 1<sup>st</sup> of each year submits a listing of construction projects undertaken, a description of each project, the status of projects, the amount and type of funds expended and a map showing the locations of the various projects for the previous calendar year.

To supply the Department, for record purposes, documentation listed below within the time frame shown. If the County wishes to have a copy returned after being stamped by the Department, then add one more original to the required number of originals to be submitted and request one set of the documents be returned.

If a form is submitted electronically, it is to be submitted to the email address as directed by the applicable district.

<b>General Maintenance Projects</b>			
Title	BLR Form #	#Originals to Submit	Comments
Local Public Agency General Maintenance Estimate of Cost/Maintenance Expenditure Statement	BLR 14222	1	This form serves as a resolution for a Township/Road District. For Township/Road District cannot exceed the amount in the estimate without a supplemental/ revision.
Resolution for Maintenance Under the Illinois Highway Code	BLR 14220	1	If expenditures exceed resolution, a supplemental resolution must be submitted. This form only applies to County Projects.
Equipment Rental Schedule	BLR 12110	1	
Contractor's Bulletin Request for Advertisement	BLR 12310	1	When emailing this form, it should be submitted as directed by the applicable district.
<b>All above documents must be received prior to advertisement. MFT funds will be authorized upon the County's request and based on IDOT's calculations of available funds. Authorizations will be based on these estimates including engineering.</b>			
Acceptance of Proposal to Furnish Materials Approval of Award	BLR 12330	1	Submit within two weeks after the material proposal is executed.
Material Quotations	BLR 12250	1	Submit within two weeks of acceptance of bids.
Tabulation of Bids	BLR 12315	1	Submit with BLR 12330 and/or Contract Documents, whichever applies.
(Construction) Estimate of Cost	BLR 11510	1	Submit within two weeks after the contract is executed.
Contract and Contract Bond	BLR 12320 BLR 12321	1	Submit within two weeks after the contract is executed.
Local Public Agency General Maintenance Estimate of Cost/Maintenance Expenditure Statement	BLR 14222	1	Submit within three months after the end of the maintenance period. MFT will be balanced using this form.

<b>Construction Projects</b>			
Title	BLR Form #	# Originals to Submit	Comments
Preliminary Bridge Design & Hydraulic Report	BLR 10210	1	This can be submitted electronically.
Environmental Survey Request			Submittal is accomplished through PMA system.
Engineering Agreements	BLR 05530	1	
Resolution for Improvement under the Illinois Highway Code	BLR 09110	1	This form only applies to County projects.
Statement of Proposed Road Improvement	BLR 09120	1	This form serves as a resolution for a Township/Road District. For Township/Road District cannot exceed the amount in the estimate without a supplemental/ revision.
Plans for structural adequacy		1	Submit for structures funded with TBP and/or MFT funds. For structures funded with local funds this must be submitted for structures over 30'.
Plans and Specifications		1	Must be approved by the Department prior to advertisement when involving a State Highway. Documents can be electronically submitted.
Contractor's Bulletin Request for Advertisement	BLR 12310	1	When emailing this form, it should be submitted as directed by the applicable district.
<b>All above documents must be received prior to advertisement. MFT funds will be authorized upon the County's request</b>			
(Construction) Estimate of Cost	BLR 11510	1	Submit within two weeks after Contract is executed.
Contract Plans, Specifications, Contract, Contract Bond	Various BLR 12320 BLR 12321	1	Submit within two weeks after Contract is executed. Authorization of MFT funds will be based on the signed contract including engineering.
Tabulation of Bids	BLR 12315	1	Submit with BLR 12330 and/or Contract Documents, whichever applies. To be submitted within two weeks of execution of documents.
Acceptance of Proposal to Furnish Materials & Approval of Award	BLR 12330	1	Submit within two weeks after the material proposal is executed. Authorization will be based on the executed material proposal.
Request for Approval of Change in Plans	BLR 13210	1	If applicable, submit agreed unit price letter(s) and/or Force Account Bill(s).
Engineer's Pay Estimate	BLR 13230	1	Final pay estimate is to be submitted within 1 year of completion of work.
Commitment List		1	In accordance with Chapter 10 of the BLRS Manual,
Reimbursement Requests	BLR 5620	1	Submit the attachments as required by BLRS Manual Chapter 5-10. For TBP projects submit attachments with letter requesting funds.
Material Certification Letter		1	See Attachment B
Final Report for Expenditures.	BLR 13510	1	To be submitted within 1 year of final inspection.

**ATTACHMENT B**

**DU PAGE COUNTY AGREEMENT OF UNDERSTANDING  
FOR MAINTENANCE AND CONSTRUCTION  
MATERIAL CERTIFICATION LETTER**

Date: \_\_\_\_\_

Regional Engineer

RE: County DuPage

Section \_\_\_\_\_

Route \_\_\_\_\_

Contractor \_\_\_\_\_

Dear Sir/Madam:

This letter is to certify:

The results of the tests on acceptance samples indicate the materials incorporated in the construction work, and the construction operations controlled by sampling and testing were in close conformity with the approved plans and specifications.

The Method of Acceptance with the appropriate Evidence of Materials Inspection for the materials incorporated in the construction work have been retained in the project records and are available for the Department to review.

Exceptions to the plans and specifications are explained on the attached sheet.

-OR-

There are no Exceptions.

(Check the appropriate statement)

Sincerely,

\_\_\_\_\_  
William C. Eidson, PE  
DuPage County Engineer