

PROPOSAL PRICING FORM

Section I: Contact Information

Please complete the contact information below.

BID NUMBER:	26-019-HR
COMPANY NAME:	MissionSquare
CONTACT PERSON:	Ray Fortin
CONTACT EMAIL:	Rfortin@missionsq.org

Section II: Pricing

- Complete the table below, showing the firm's proposed annual fee, as a percentage of plan assets, for providing record-keeping, participant services, plan sponsor services and on-site education and counseling, assuming the firm is the sole provider for a five-year maximum term length contract.

Contract Term	Revenue Required with Proprietary Stable Value Fund	Revenue Required with no Proprietary Fund /Services
Five (5) Years	4.4 bps	8 bps

For the Revenue Required with Proprietary Stable Value Fund scenario, our pricing assumes the PLUS Fund R10 share class as the sole stable value fund administered by MissionSquare.

Pricing Assumptions

Our fees are based upon adoption of our model plan document and assume the plan sponsor will utilize the secure plan sponsor website to submit contributions electronically on a consistent basis. If there are system enhancements required for features in an individually designed document, a cost estimate will be provided

There are no back-end charges or financial market value adjustments of any kind. Funds may assess redemption fees for assets that have been invested for less than a period of time defined in the fund prospectus or disclosure documents.

MissionSquare retains full discretion to release employer-initiated MissionSquare PLUS Fund withdrawals in an orderly manner over a period of up to 12 months from the date MissionSquare receives written notification from the employer that it is initiating withdrawals from the MissionSquare PLUS Fund, regardless of whether the market to book value ratio of the MissionSquare PLUS Fund is above or below 100%.

This restriction on employer withdrawals from the MissionSquare PLUS Fund does not apply to participant-directed withdrawals from the Fund. In the case of an employer-initiated total withdrawal, participant transfers of MissionSquare PLUS Fund assets to other investment options will be restricted and participants will not be able to make additional investments in the PLUS Fund during this 12-month period. The MissionSquare PLUS Fund remains benefit-responsive to participants eligible to take participant-directed withdrawals from the Fund. Transfers to another provider will be restricted if an employer elects to replace the MissionSquare PLUS Fund.

2. **As a requirement to contracting with the County, is the firm willing to specifically disclose all revenues received from the investment options and services offered to the County? “Yes or No”.**

Yes.

3. **Is the firm willing to rebate any and all revenue above the contracted amount provided in Section II, 1 back to the Plan? “Yes or No”.**

Yes.

4. **Provide in detail any asset-based charges assessed outside of those included in the fund expense ratio.**

Some funds may assess redemption fees for assets that have been invested for less than a period of time as defined in the fund prospectus.

5. **Provide the firm’s proposed annual per-participant, fixed dollar fee for providing record-keeping, administration, participant services, on-site education and counseling for the 457 Plan assuming the following contract terms.**

Contract Term	457(b) Deferred Comp Plan Fee
Three (3) years	\$35 per account
Four (4) years	\$34 per account
Five (5) years	\$33 per account

Our fee and service proposal for these scenarios assume the PLUS Fund R10 share class as the sole stable value fund administrated by MissionSquare.

Please see the [Pricing Assumptions](#) in Question 1 above.

6. **Are the fees provided in Section II, 5 contingents upon the use of any proprietary products or services such as a specific stable value/general account option or advisory/managed account services? “Yes or No”. If yes, describe and include information as to how the fee would be adjusted should the County decide not to use the proposed offerings.**

Yes. As described in Questions 1 and 5 above for our proprietary stable value fund.

7. If the County desires to assess participants a plan sponsor-imposed asset-based or flat dollar fee to offset plan administration-related expenses, will the firm assess this fee on behalf of the County, and return those collected fees to the plan sponsor as they are collected? “Yes or No”. If yes, describe, addressing the frequency of the fee and how it is calculated and assessed.

Yes. The fee would be processed monthly based on participant average balances.

8. Under the flat-dollar, per-participant pricing structure, if certain funds in the Plan share revenue, is the firm willing to collect the revenue share amounts and credit the amounts towards offsetting the per-participant fee? “Yes or No”.

Yes.

9. If directed, would the firm return 12b-1 and similar reimbursements from investment providers in a pro-rata fashion directly back to the participants who had invested in those funds each quarter, if so, directed by the plan sponsor? “Yes or No”. If yes, describe.

Example - Participant A invests in Fund A and Fund B. Fund A generates 0.25% in revenue share annually and Fund B generates .15% annually. Participant A holds 0.5% of the plan’s total investment in Fund A and 0.5% of the plan’s total investment in Fund B. Each quarter 0.5% of the revenue received or credited from Fund A would be reimbursed into Participant A’s account and 0.5% of revenue from Fund B would be reimbursed into Participant A’s account. Other participants with different proportionate shares of the plan’s total investments in Fund A and Fund B would receive different reimbursements in accordance with their proportional share of those funds.

Yes. In such a scenario, the credit would be applied monthly based on the prior month average balance.

10. Provide a fee schedule for any services related to financial planning.

There is no additional cost for financial planning services.

11. Are fees for a financial planning service proposed by the firm assessed to the entire participant population or only to those who use the service?

N/A.

12. Provide a list of all other non-asset based, participant-related administration expenses. Items such as per head recordkeeping fees, loan fees, and additional withdrawal processing expenses shall be included.

Participant Administration Services	Cost of Services
Loan set up	\$75 one-time origination fee.
Loan maintenance	\$50 annual fee for outstanding loans.
In-service withdrawals	None.

Hardship withdrawal qualifications	None.
Required minimum distributions	None.
QDRO determination	None.
QDRO processing	\$500 per retirement plan, per order.
Stop payment	None.
Replacement 1099-	None.
Wire transfer / ETF	A \$15 participant fee will apply for each outgoing wire transfer requested by a participant. Should our processing bank increase the wire fees during the contract period, the increased fee will be charged to the participant accordingly. There is no charge for incoming wire transfers.
In-plan Roth conversation	None.
Other(s) - list	<p>Brokerage</p> <p>MissionSquare assesses a one-time \$50 setup fee when a participant's MissionSquare Brokerage account is established and a \$50 annual maintenance fee. These fees are deducted directly from the participant's core account at MissionSquare.</p> <p>A complete list of fees charged by Charles Schwab can be found through the following link: https://www.schwab.com/resource/pcra-pricing-summary.</p> <p>Managed Accounts service:</p> <p>Participant Account Balance/Annual Fee</p> <p>First \$100,000/.50%</p> <p>Next \$200,000/.40%</p> <p>Next \$200,000/.30%</p> <p>Over \$500,000/.20%</p>

13. Provide a list of all non-asset-based plan sponsor-related administrative expenses. Include but not limit to special reporting charges, legal fees, administrative processing fees, communications fees, QDRO administration, and plan document preparation fees, including any fees to maintain, update, and/or ensure compliance of such document with the Internal Revenue Code.

Plan Sponsor Administration Services	Cost of Services
Plan reporting	None.

Plan document restatement	None. Our fees are based upon adoption of our model plan document.
Plan document amendments – legislative	None. Our fees are based upon adoption of our model plan document.
Plan document amendments – voluntary	None. Our fees are based upon adoption of our model plan document.
Identifying population eligible for required minimum distribution	None.
Lost participant / bad address search	Service not provided.
Assistance with audits	None.
Contract termination fee	\$500
Other(s) - list	Any system programming for services not identified in the scope of services or that have not been proposed in this proposal is available at a cost of \$175 per hour for programming, testing, and project oversight.

14. Identify all non-asset-based participant and plan sponsor service fees not included above.

All fees have been provided in the responses above.

Section III: Certification

By signing below, the Bidder agrees to provide the required goods and/or services described in the Bid Specifications for the prices quoted on this Proposal Pricing Form.

Signature on File

Printed Name: Erica McFarquhar

Signature: _____

Title: Assistant Secretary, MissionSquare Retirement Date: 2/13/2026

